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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/632,436         | 08/01/2003             | Michael F. Thomashow  | 21835-00004            |

27144

FOSTER, SWIFT, COLLINS & SMITH, P.C.  
 313 SOUTH WASHINGTON SQUARE  
 LANSING, MI 48933

CONFIRMATION NO. 3828

## FORMALITIES LETTER



\*OC000000011074450\*

Date Mailed: 10/21/2003

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

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PART 3 - OFFICE COPY

**Effective OCTOBER 1, 2003.** Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

**EXAMPLES OF FEES BEING ADJUSTED**

See [www.uspto.gov](http://www.uspto.gov) for complete FY 2003  
and FY-2004 Fee Schedules

\* Indicates  
fees remain  
at FY 2003  
amount

| DESCRIPTION  | FY 2003 | FY 2004 |
|--|---------|---------|
| Basic filing fee - Utility                             | \$750   | \$770   |
| Basic filing fee - Utility (Small Entity)              | \$375   | \$385   |
| Independent claims in excess of three                  | \$84    | \$86    |
| Independent claims in excess of three (Small Entity)   | \$42    | \$43    |
| Claims in excess of twenty                             | \$18    | \$18*   |
| Claims in excess of twenty (Small Entity)              | \$9     | \$9*    |
| Multiple dependent claim                               | \$280   | \$290   |
| Multiple dependent claim (Small Entity)                | \$140   | \$145   |
| Surcharge - Late filing fee                            | \$130   | \$130   |
| Surcharge - Late filing fee (Small Entity)             | \$65    | \$65    |
| Design filing fee                                      | \$330   | \$340   |
| Design filing fee (Small Entity)                       | \$165   | \$170   |
| Plant filing fee                                       | \$520   | \$530   |
| Plant filing fee (Small Entity)                        | \$260   | \$265   |
| Reissue filing fee                                     | \$750   | \$770   |
| Reissue filing fee (Small Entity)                      | \$375   | \$385   |
| Reissue independent claims                             | \$84    | \$86    |
| Reissue independent claims (Small Entity)              | \$42    | \$43    |
| Reissue claims in excess of twenty                     | \$18    | \$18*   |
| Reissue claims in excess of twenty (Small Entity)      | \$9     | \$9*    |
| Provisional application filing fee                     | \$160   | \$160*  |
| Provisional application filing fee (Small Entity)      | \$80    | \$80*   |
| Surcharge - Late provisional filing fee                | \$50    | \$50*   |
| Surcharge - Late provisional filing fee (Small Entity) | \$25    | \$25*   |
| Non-English Specification                              | \$130   | \$130*  |
| Extension - First month                                | \$110   | \$110*  |
| Extension - First month (Small Entity)                 | \$55    | \$55*   |
| Extension - Second month                               | \$410   | \$420   |
| Extension - Second month (Small Entity)                | \$205   | \$210   |
| Extension - Third month                                | \$930   | \$950   |
| Extension - Third month (Small Entity)                 | \$465   | \$475   |
| Extension - Fourth month                               | \$1,450 | \$1,480 |
| Extension - Fourth month (Small Entity)                | \$725   | \$740   |
| Extension - Fifth month                                | \$1,970 | \$2,010 |
| Extension - Fifth month (Small Entity)                 | \$985   | \$1,005 |
| Petition   | \$130   | \$130*  |
| Processing returned checks                             | \$50    | \$50*   |

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